

## INTERNAL AUDIT PLAN 2013/14 - OUTTURN

<b>Final Plan 13/14</b>	<b>Status</b>
<b>Reliance/Assurance Reviews</b>	
Payroll	Complete
Treasury	Complete
Council Tax	Complete
Housing Rents	Complete
Corporate Accounts Payable	Complete
Corporate Accounts Receivable	Complete
Business Rates	Complete
Housing Benefit	Complete
Capital Expenditure	Complete
Compliance Testing	Substantially Complete
Corporate Governance	Complete
Management Assurance	Complete 2012/13 Substantially Complete 13/14
Risk Management	Complete
IT Working Group	Complete
Information Governance Board (IGB)	Complete
<b>Professional Advice - New /Developing Areas</b>	
On-line Social Care Shopping Portal	Complete
E-invoicing	Complete
Public Health Integration	Replaced by Heathland Waivers
<b>IT Reviews</b>	
Framework – I - Application Review	Complete
IWorld/Northgate Housing Benefit – Operating System Review	c/f to 14/15
IT Data Centre - Landlord Risks	c/f to 14/15
Data Security Breaches	Complete
IT Change Management	c/f to 14/15
<b>Fraud Risk</b>	
Business Rates	Complete
Public Health – Local Enhanced Schemes/Services	Complete
Housing Benefit	Complete
Housing Assessments	Complete
<b>Corporate Risk Based Reviews</b>	
Data Quality	Complete
Delegations	Replaced by Staff Canteen review
Purchase Cards	Complete
Commissioning	On hold
Business Continuity/IT Disaster Recovery	Replaced by Financial Regulations School guidance
<b>Directorate Risk Based Reviews</b>	

## INTERNAL AUDIT PLAN 2013/14 - OUTTURN

Cashiers	Substantially Complete
Harrow HELP Scheme	Complete
Localised Council Tax Support	Complete
Finance Restructure	Complete
Pensions	Complete
Housing Repairs Contract Management	Complete
Direct Payments – Children with Disabilities	Substantially Complete
Parking Enforcement - PCNs	Complete
Waste Management – Trade Waste	Complete
PRISM/Property restructure	Replaced by Arboricultural Services
Carbon Reduction Commitment	Complete
Disabled adaptations (Harrow Home Improvement Agency)	Complete
<b>Schools Reviews</b>	
Financial Control & Governance Reviews	10 complete 3 Substantially Complete
<b>Support, Advice &amp; Follow-up</b>	
Suspected Financial Irregularities + Control Reviews	Complete
Professional Advice	Complete
Follow-up	Complete
Liaison with External Audit	Complete
Audit Management	Complete
<b>WLWA Reviews</b>	Complete
<b>Emerging Risks</b>	
Staff canteen	Complete
Arboricultural Services	Complete
Financial Regulation School Guidance	Substantially Complete
Heathland Waivers	Complete

**KEY**

Complete = Field work complete and report issued

Substantially Complete = Fieldwork complete but report not formally issued due to a legitimate reason e.g. live investigation, additional audit work identified

In progress = Fieldwork incomplete\*

On hold = Fieldwork incomplete and project on hold\*

c/f to 14/15 = work unable to be progress in 13/14

\* As a result of maternity leave unknown when plan finalised

**Performance**

92% of plan completed